



Substitute for form PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/470,180
		Filing Date	December 22, 1999
		First Named Inventor	Jay MERVES et al.
		Art Unit	3627
		Examiner Name	Michael A. CUFF
Sheet	1 of 13	Attorney Docket Number	72167.000112

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*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US 2001/0011241	08-02-2001	Nemzow	
	2.	US 2001/0011242	08-02-2001	Allex et al.	
	3.	US 2001/0018674	08-30-2001	Schein, et al.	
	4.	US 2001/0025264	09-27-2001	Deaddio et al.	
	5.	US 2001/0032217	10-18-2001	Huang	
	6.	US 2001/0042034	11-15-2001	Elliott	
	7.	US 2001/0043235	11-22-2001	Best et al.	
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	9.	US 2001/0056398	12-27-2001	Scheirer	
	10.	US 2002/0002530	01-03-2002	May	
	11.	US 2002/0004777	01-10-2002	Foster et al.	
	12.	US 2002/0007335	01-17-2002	Millard et al.	
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	15.	US 2002/0013862	01-31-2002	Orchard et al.	
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	18.	US 2002/0018077	02-14-2002	Powlette	
	19.	US 2002/0022956	02-21-2002	Ukraininsky et al.	
	20.	US 2002/0023053	02-21-2002	Szoc et al.	
	21.	US 2002/0026405	02-28-2002	Haar	
	22.	US 2002/0026449	02-28-2002	Azencott	
	23.	US 2002/0026462	02-28-2002	Shotton Jr. et al.	
	24.	US 2002/0029183	03-07-2002	Vlahoplus, et al.	

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Sheet	2 of 13	Attorney Docket Number	72167.000112

U.S. PATENT DOCUMENTS

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	26.	US 2002/0042767	04-11-2002	Kwan	
	27.	US 2002/0049666	04-25-2002	Reuter et al.	
	28.	US 2002/0054115	05-09-2002	Mack et al.	
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	31.	US 2002/0069157	06-06-2002	Jordan	
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	44.	US 2002/0147671	10-10-2002	Sloan et al.	
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		Art Unit	3627
		Examiner Name	Michael A. CUFF
Sheet	3 of 13	Attorney Docket Number	72167.000112

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	50.	US 2002/0169707	11-14-2002	Koek et al.	
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	52.	US 2002/0178096	11-28-2002	Marshall, Paul Steven	
	53.	US 2002/0184132	12-05-2002	Foster, Richard	
	54.	US 2002/0184237	12-05-2002	McFeely	
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	62.	US 2003/0035561	03-21-2002	Archer et al.	
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	68.	US 2003/0088496	05-08-2003	Piotrowski	
	69.	US 2003/0093360	05-15-2003	May	
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		Examiner Name	Michael A. CUFF
Sheet	4 of 13	Attorney Docket Number	72167.000112

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	74.	US 2003/0126063	07-03-2003	Reuter	
	75.	US 2003/0126068	07-03-2003	Hauk et al.	
	76.	US 2003/0126069	07-03-2003	Cha	
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	81.	US 2003/0158949	08-21-2003	Miller et al.	
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	85.	US 2003/0233459	12-18-2003	Miller et al.	
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		Art Unit	3627
		Examiner Name	Michael A. CUFF
Sheet	5 of 13	Attorney Docket Number	72167.000112

U.S. PATENT DOCUMENTS

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	97.	US 2005/0086170	04-21-2005	Rao	
	98.	US 4169285	09-25-1979	Walker, Lloyd R.	
	99.	US 4648038	03-03-1987	Roberts et al.	
	100.	US 4739478	04-19-1988	Roberts et al.	
	101.	US 4742457	05-03-1988	Leon et al.	
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	114.	US 5517406	05-14-1996	Harris et al.	
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	116.	US 5592379	01-07-1997	Finfrock et al.	
	117.	US 5649186	07-15-1997	Ferguson	
	118.	US 5675746	10-07-1997	Marshall, Paul S.	
	119.	US 5706502	01-06-1998	Foley et al.	
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		Examiner Name	Michael A. CUFF
Sheet	6 of 13	Attorney Docket Number	72167.000112

U.S. PATENT DOCUMENTS

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	121.	US 5724593	03-03-1998	Hargrave III et al.	
	122.	US 5778157	07-07-1998	Oatman et al.	
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		Examiner Name	Michael A. CUFF
Sheet	7 of 13	Attorney Docket Number	72167.000112

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	187.	US 6313854	11-06-2001	Gibson	
	188.	US 6317726	11-13-2001	O'Shaughnessy	
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	190.	US 6323881	11-27-2001	Broulik et al.	
	191.	US 6332163	12-18-2001	Bowman-Amuah	
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	197.	US 6356933	03-12-2002	Mitchell et al.	
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	214.	US 6480882	11-12-2002	McAdam et al.	
	215.	US 6489954	12-03-2002	Powlette	
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Sheet	10 of 13	Attorney Docket Number	72167.000112

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	222.	US 6556987	04-29-2003	Brown et al.	
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	226.	US 6598028	07-22-2003	Sullivan et al.	
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	231.	US 6631373	10-07-2003	Otani et al.	
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Sheet	11 of 13	Attorney Docket Number	72167.000112

FOREIGN PATENT DOCUMENTS

*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION
		Country Code Number- Kind Code (if known)				YES/NO
	233.	WO 01/20530	3/22/2001	Saaty et al.		
	234.	WO 01/37540	5/25/2001	Perkowski		
	235.	WO 01/57716	8/9/2001	Lebrun et al.		
	236.	WO 01/59670	8/16/2001	Wood Jr., John F.		
	237.	WO 02/03774	1/17/2002	Freckleton et al.		
	238.	WO 02/054189	7/11/2002	Adamson et al.		
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	240.	WO 02/063516	8/15/2002	Smith		
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	245.	WO 03/030013	4/10/2003	Ramachandran et al.		
	246.	WO 03/032158	4/17/2003	Davis et al.		
	247.	WO 03/065256	8/7/2003	Crosby et al.		
	248.	WO 03/102733	12/11/2003	Altschuler et al.		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/470,180
		Filing Date	December 22, 1999
		First Named Inventor	Jay MERVES et al.
		Art Unit	3627
		Examiner Name	Michael A. CUFF
Sheet	12 of 13	Attorney Docket Number	72167.000112

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS			
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION
			YES/NO
	250.	MANCO ET AL., A Framework For Adaptive Mail Classification, 14th IEEE Conference on Tools with Artificial Intelligence (ICTAI'02), 11/04/2002, p. 387	
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	252.	CZEJDO, Automatic generation ontology based anntations in XML and their use in retrieval systems, Web Information Systems Engineering, 2000, Proceedings of the First International Conference, 06/19/2000, p. 296	
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/470,180
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	266.	Rising to the challenge of global STP, Euromoney Publications PLC Global Inventor, 11/01/1999, pp. 51-52; Issn. 0951-3604	
	267.	STP in the Bond Market?, Wall Street & Technology, 12/01/2002, p. 20	
	268.	ERICSON, Softwerx releases patent-pending.	
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	271.	WITTEN ET AL., Text Mining: A New Frontier for Lossless Compression	
	272.	FAN ET AL., The Internet and Future Financial Markets; Industry Trend or Event, Association for Computing Machinery, Inc., Sec. 11, Vol. 43; 11/01/2000, p. 82; Issn: 0001-0782	
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